OMB Number: 4040-0004
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424

* 1. Type of Submission:
  - ☐ Preapplication
  - ☒ Application
  - ☐ Changed/Corrected Application

* 2. Type of Application:
  - ☒ New
  - ☐ Continuation
  - ☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received: 05/10/2022

4. Applicant Identifier: FY2022 TVTP

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier: FY2022 TVTP

8. APPLICANT INFORMATION:

* a. Legal Name: NYS Division of Homeland Security and Emergency Services

* b. Employer/Taxpayer Identification Number (EIN/TIN): (b)(6)

* c. UEI: QJJYP24KWV21

d. Address:

* Street1:	1220 Washington Avenue
Street2:	State Campus Building 7A
* City:	Albany
County/Parish:	
* State:	NY: New York
Province:	
* Country:	USA: UNITED STATES
* Zip / Postal Code:	12226-1800

e. Organizational Unit:

Department Name:

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:	
* First Name:	Carol
Middle Name:	
* Last Name:	Stumpf
Suffix:	

Title: Public Safety Grants Representative

Organizational Affiliation:
NYS DHSES Grants Program Administration

* Telephone Number: (b)(6)

Fax Number:

* Email: (b)(6)

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

Department of Homeland Security - FEMA

11. Catalog of Federal Domestic Assistance Number:

97.132

CFDA Title:

Financial Assistance for Targeted Violence and Terrorism Prevention

*** 12. Funding Opportunity Number:**

DHS-22-TTP-132-00-01

* Title:

Fiscal Year (FY) 2022 Targeted Violence and Terrorism Prevention (TVTP)

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment | Delete Attachment | View Attachment

*** 15. Descriptive Title of Applicant's Project:**

FY2022 Targeted Violence and Terrorism Prevention (TVTP) Program

Attach supporting documents as specified in agency instructions.

Add Attachments | Delete Attachments | View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant: NY-20   * b. Program/Project: NY-20

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment | Delete Attachment | View Attachment

17. Proposed Project:

* a. Start Date: 10/01/2022   * b. End Date: 09/30/2024

18. Estimated Funding ($):

* a. Federal	300,000.00
* b. Applicant	0.00
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	0.00
* g. TOTAL	300,000.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

☐ a. This application was made available to the State under the Executive Order 12372 Process for review on ______.

☒ b. Program is subject to E.O. 12372 but has not been selected by the State for review.

☐ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

☐ Yes   ☒ No

If "Yes", provide explanation and attach

Add Attachment | Delete Attachment | View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mr.   * First Name: Eric

Middle Name:

* Last Name: Abramson

Suffix:

* Title: Director of Grants Program Administration

* Telephone Number: (b)(6)   Fax Number:

* Email: (b)(6)

* Signature of Authorized Representative: Eric M Abramson   * Date Signed: 05/10/2022

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

* APPLICANT'S ORGANIZATION

NYS Division of Homeland Security and Emergency Services

* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE

Prefix: Mr. * First Name: Eric Middle Name:

* Last Name: Abramson Suffix:

* Title: Director of Grants Program Administration

* SIGNATURE: Eric M Abramson * DATE: 05/10/2022

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EMW-2022-GR-APP-00023

Application Information

Application Number: EMW-2022-GR-APP-00023
Funding Opportunity Name: Fiscal Year (FY) 2022 Targeted Violence and Terrorism Prevention (TVTP)
Funding Opportunity Number: DHS-22-TTP-132-00-01
Application Status: Pending Review

Applicant Information

Legal Name: NYS Division of Homeland Security and Emergency Services
Organization ID: 789
Type: State governments
Division:
Department:
EIN: (b)(6)
EIN Shared With Organizations: All NYS agencies use this EIN #
DUNS: 616804055
DUNS 4: 9205
Congressional District: Congressional District 01, NY

Physical Address

Address Line 1: 1220 Washington Avenue
Address Line 2: State Campus Building 7A 6th flr
City: Albany
State: New York
Province:
Zip: 12242-**[Grantee Organization > Physical Address > Zip 4]**
Country: UNITED STATES

Mailing Address

Address Line 1: 1220 Washington Avenue
Address Line 2: State Campus Building 7A 6th flr
City: Albany
State: New York
Province:
Zip: 12242-**[Grantee Organization > Mailing Address > Zip 4]**
Country: UNITED STATES

SF-424 Information

Project Information

Project Title: FY2022 Targeted Violence and Terrorism Prevention (TVTP) Program
Program/Project Congressional Districts: Congressional District 20, NY
Proposed Start Date: Sat Oct 01 00:00:00 GMT 2022
Proposed End Date: Mon Sep 30 00:00:00 GMT 2024
Areas Affected by Project (Cities, Counties, States, etc.): All areas of NYS

Estimated Funding

Funding Source	Estimated Funding ($)
Federal Funding	$300000
Applicant Funding	$0
State Funding	$0
Local Funding	$0
Other Funding	$0
Program Income Funding	$0
Total Funding	$300000

Is application subject to review by state under the Executive Order 12373 process? Program is subject to E.O. 12372 but has not been selected by the State for review.
Is applicant delinquent on any federal debt? false

Contacts

Contact Name	Email	Primary Phone Number	Contact Types
Eric Abramson	(b)(6)		Authorized Official Primary Contact Signatory Authority
Carol Stumpf			Secondary Contact

SF-424A

Budget Information for Non-Construction Programs

Grant Program: Targeted Violence and Terrorism Prevention Grant Program
CFDA Number: 97.132

Budget Object Class	Amount
Personnel	$160000
Fringe Benefits	$43000
Travel	$10000
Equipment	$0
Supplies	$5000
Contractual	$2264070
Construction	$0
Other	$17000
Indirect Charges	$0
Non-Federal Resources	**Amount**
Applicant	$0
State	$0
Other	$17000
Income	**Amount**
Program Income	$0

How are you requesting to use this Program Income? [$budget.programIncomeType]

Direct Charges Explanation:
Indirect Charges explanation:

Forecasted Cash Needs (Optional)

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
Federal	$	$	$	$
Non-Federal	$	$	$	$

Future Funding Periods (Years) (Optional)

First	Second	Third	Fourth
$	$	$	$

Remarks:

SF-424C

Budget Information for Construction Programs

Assurances for Non-Construction Programs

Form not applicable? false
Signatory Authority Name: Eric Abramson
Signed Date: Wed May 18 00:00:00 GMT 2022
Signatory Authority Title: Director of Grants Program Administration

Certification Regarding Lobbying

Form not applicable? false
Signatory Authority Name: Eric Abramson
Signed Date: Wed May 18 00:00:00 GMT 2022
Signatory Authority Title: Director of Grants Program Administration

Disclosure of Lobbying Activities

Form not applicable? true
Signatory Authority Name: Eric Abramson
Signed Date:
Signatory Authority Title:

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Statewide TAM Team Summit	DHSES	$30,000	Planning
TAM Team Training at the SPTC	DHSES	$30,000	Training
TAM Research on Averted Attacks	DHSES	$10,000	Contractual
Certified Threat Management Training	DHSES	$10,000	Training
TAM Team Travel	DHSES	$5,000	Travel
Management & Administrative (M&A)	DHSES	$15,000	M&A
	Sub-Total 6	**$100,000**	
Request Total		**$500,000**	

The required budget worksheet includes a summary of projects by DHSES and our sub-grantees (outlined above) under this request:

Budget Category	Federal Request
Personnel	$60,000
Fringe Benefits	$8,000
Travel	$10,000
Supplies	$5,000
Training	$70,000
Contractual	$330,000
Other	$17,000
Total Direct Costs	$
Indirect Costs	$0
Total Project Costs	**$500,000**

**Note: Other includes DHSES's Management and Administration (M&A) funds, which accounts for $15,000 of the $17,000. The other $2,000 is for website updates for the Monroe County Sheriff's Office for their ROCTAC website.*

Appendices

Implementation and Measurement Plan (IMP)

Resumes for Key Personnel

- **DHSES, Grants Program Administration, Mr. Eric Abramson**
- **DHSES, Grants Program Administration, Ms. Nicole Erickson**
- **DHSES, State Preparedness Training Center, Ms. Meghan Dudley**
- **DHSES, Senior Policy Advisor, Mr. Terry Hastings**
- **Buffalo Police Department, Captain Amber Beyer**
- **Niagara County Sheriff's Office, Lieutenant Daniel Zimmerman**
- **Monroe County Sheriff's Office, Sergeant Gregory Wildman**
- **Monroe County Sheriff's Office, Ms. Jennifer Curley**
- **Troy Police Department, Captain Steven Barker**

Documentation of Commitment and Support

- **Sheriff Michael Filicetti, Niagara County Sheriff's Office**
- **Sheriff Todd Baxter, Monroe County Sheriff's Office**
- **Chief Daniel DeWolf, Troy Police Department**

CP3 Implementation & Measurement Plan

You should modify the Implementation & Measurement Plan (IMP) template to match the number of goals your specific project requires. For *each* goal in the IMP, create an Implementation Plan table *and* a Measurement Plan table. Please use the definitions provided in the IMP guidance document when crafting your plan. Draft, in the box below, the overarching goal statement for the project. Following completion of the IMP, each grantee is expected to complete the Risk Assessment & Mitigation Plan in Appendix A. Please note that select grantees will undergo an independent outcome evaluation that will specifically seek to determine the impact of a program and whether it was able to achieve its stated goals and objectives as measured against its stated performance measures/indicators. It is therefore critical that this plan is completed as comprehensively as possible to enable this type of evaluation to be possible.

In the Implementation Plan table:

- After reviewing the example table, please delete the "Example Goal 1 Implementation Plan" and fill in your project plan within the blank Implementation Plan table further down within this template. For additional guidance, definitions, and examples, please consult the "IMP Guidance Document"
- Type each activity in a separate row; add as many rows as needed.
- Arrange activity rows chronologically by the start date of the activity.
- The plan should span both years of performance under this grant program.

In the Measurement Plan table:

- After reviewing the example table, please delete the "Example Goal 1 Measurement Plan" and fill in your project plan within the blank Measurement Plan table further down within this template. For additional guidance, definitions, and examples, please consult the "IMP Guidance Document"
- Type each performance measure in a separate row. Every key activity in your implementation plan table such as trainings, workshops, or case management activities should have at least one corresponding performance measure and target within the measurement table
- Map each performance measure to the relevant activity by including the numerical code of the activity to which it applies, e.g., 1.1.1, 1.1.2, etc.
- Include performance measures and targets that will measure the results of the relevant activity in line with that activity's corresponding goal and objective. It is not necessary to have more than one performance measure and corresponding target for an individual activity if one is sufficient to measure the successful implementation of that activity.
- Identify and/or design data collection methods to be used to obtain the data that will be reported on quarterly.
- Ensure attention to collection of data that can be broken down by sex and age of project participants or beneficiaries.
- The information in the "Performance Measures" column of the Measurement Plan should align with the information in the "Anticipated Outputs" column of your Implementation Plan

NOTE: Data collection methods should be specific and timebound. Any expenses incurred from the collection of data must come from the grant already awarded. No additional funds will be made available by DHS for this purpose.

Organization Name	***NYS Division of Homeland Security and Emergency Services (DHSES) in partnership with Buffalo/Erie, Niagara, and Troy regions***
Project Title	***Expanding Threat Assessment and Management Capability through the Creation and Advancement of Threat Assessment Management Teams across New York State***
Grant Number	**EMW-2022-GR-APP-00023**
Grant Implementation Period	**10/1/2022-9/30/2024**

Project Goal Statement

Develop and implement prevention frameworks across upstate New York to enhance the capabilities of the "whole community" to identify and respond to individuals at risk of mobilizing to violence through the support and expansion of Threat Assessment Management teams.

Target Population

The goal of this project is to be able to provide the tools necessary to create Threat Assessment Management teams across the entire state. New York State is home to $19.51 million people and includes a wide range of diversity of race, cultures, genders, to socio-economic statuses, religions, and beliefs. Although the Threat Assessment Management teams in this request are limited to specific upstate urban centers, who have the commitment needed at the executive level, the intent is to build statewide capacity by expanding the frameworks and knowledge base through training, awareness, and outreach. New York State will have the resources to expand above and beyond these specific regions to enhance overall prevention efforts. This project will be the steppingstone to that process.

Goal 1: Continue to strengthen and expand the Rochester Threat Advisory Committee (ROCTAC) model which has provided the groundwork for the successful implementation of Threat Assessment management teams through a whole-community approach

Objective 1.1: Continue funding for the ROCTAC program manager during the period of performance to manage caseload and aid in assuring appropriate actions are taken in the cases that are referred to the committee members
Objective 1.2. Assign a Monroe County Sheriff Sergeant to serve in a part-time capacity in the role of Terrorism Liaison Officer (TLO).
Objective 1.3. Continue to develop and support marketing materials, enhance media literacy through web-development efforts and support travel costs associated with providing public awareness education and training that will serve all diverse communities within the county and beyond.
Objective 1.4: Hire a part-time trainer to provide ROCTAC training support – this will include delivering training to all member agency personnel (over 50 personnel and 25 member agencies) on behavioral threat assessments to increase their knowledge of risk factors to violent extremism and mobilization

Goal 1 IMPLEMENTATION PLAN

Objectives	Activity	Inputs/Resources	Time Frame	Anticipated Outputs
Objective 1.1	Activity 1.1.1. - Fund contractual support to manage and guide ROCTAC activities.	Organization and facilitation of the meetings, resource recommendations and coordinating and tracking referral of services	Q1-8	Efficient and consistent ROCTAC operations; Identification of new members and sustainment of 25 existing ROCTAC agency partners

Objectives	Activity	Inputs/Resources	Time Frame	Anticipated Outputs
Objective 1.2	Activity 1.2.1 – MCSO Sergeant will be leveraged as a Terrorism Liaison Officer to support ROCTAC activities. Sergeant will provide a boots-on-the-ground perspective through field work and related activities to further enhance information sharing efforts of the group	Boots on the Ground perspective, identification of potential challenges, resource identification and intelligence gathering	Q1-Q8	Increased "depth on the bench" and knowledge-base to support the ROCTAC efforts long-term Participate and provide 24 briefings to member agencies to highlight trends
Objective 1.3	Activity 1.3.1 – Issuance of marketing materials and a website to share the ROCTAC as a resource for the "whole community"	Inclusion of CAB training principles within the materials Diversification of audience base to ensure a wide range of impact to all	Q4-Q8	Increase knowledgebase for community partners and citizens Participate in 3 community outreach events/presentations
Objective 1.4	Activity 1.4.1 – Identification of a part-time trainer to provide dedicated, consistent support to ROCTAC's personnel who represent 25 member agencies	Provide behavioral threat assessment training and continual support for expansion of knowledge base	Q3	Continued evolution of training of key personnel to identify, assess, and implement and manage intervention strategies

Goal 1 MEASUREMENT PLAN

Activity #	Performance Measures & Targets	Data Collection Method and Timeframe
1.1.1	Performance Measures: ROCTAC Case Indicators #Number of Threat Cases (TCs) handled #Number of referrals for outside services by service type #Anonymized case status data referred to law enforcement #Anonymized case studies illustrating the impact of threat management Target: Continue to meet on a monthly-basis and collect case information as needed. Aim to close cases within 3 months of being presented	Completed on quarterly basis as part of the reporting requirements and case information anonymized with appropriate protective measures
1.2.1	Performance Measure: Identify additional members to support ROCTAC efforts Target: Add at least two additional core partners to ROCTAC each 6-month period	Track and completed on a monthly basis. Information on additional member agencies will be provided to DHSES in required quarterly progress reporting
1.3.1	Performance Measure: Number of hits on website traffic and number of community outreach events conducted Target: At least one public outreach event a quarter	Information will be collected through monitoring of website traffic on a monthly basis. Any outreach events will include sign-in sheets to record the number of attendees
1.4.1	Performance Measure: Number of trained personnel Target: All of the 25 member agencies and their partners attend the delivered training; knowledge increased by 50%	Training information will be tracked; sign-in sheets will be provided to keep a record of those that attended the training and an evaluation of training conducted to measure results

Goal 2: ***Support the full implementation of TAM Teams in Erie County (including the City of Buffalo) and Niagara County***

Objective 2.1: TAM teams are assigned appropriate contractual support during the period of performance manage caseload and aid in assuring appropriate actions are taken in the cases that are referred to the committee members
Objective 2.2: TAM teams access training to meet their members needs in terms of behavioral threat assessment and TAM team operations
Objective 2.3: TAM teams share knowledge of their programs with promotional materials, websites, apps, etc. for community awareness purposes and to socialize the indicators of potential threat actors and the actions that can be taken to elevate (e.g., who to contact).

Goal 2 IMPLEMENTATION PLAN

Objectives	Activity	Inputs/Resources	Time Frame	Anticipated Outputs
Objective 2.1	Activity 2.1.1: Fund contractual support to manage and guide TAM team activities.	Organization and facilitation of the meetings, resource recommendations and coordinating and tracking referral of services	Q1	Efficient and consistent TAM team operations Identification of new members
	Activity 2.1.2 Establish systems and protocols that help to ensure adequate actions take place and follow-up occurs	Utilize existing policies and procedures to help guide the development of the framework	Q2	Efficient and consistent TAM team operations Documentation of anonymized case numbers
Objective 2.2	Activity 2.2.1: Provide behavioral threat assessment training and threat management accreditation for continual support for expansion of knowledge base and expertise	Leverage existing partnerships with CP3 to attain necessary information for accreditation and enhanced training opportunities	Q2	Continued evolution of training of key personnel to identify, assess, and implement and manage intervention strategies; all members will attend
Objective 2.3	Activity 2.3.1 Creation and development of marketing materials for community awareness purposes in addition to software development to promote media literacy	Inclusion of CAB training principles within the materials Diversification of audience base to ensure a wide range of impact to all	Q2-Q8	Increase knowledgebase for community partners and citizens; participate in at least 1 outreach event per year

Goal 2 MEASUREMENT PLAN

Activity #	Performance Measures & Targets	Data Collection Method and Timeframe
2.1.1	Performance Measure: Identify additional members to support TAM team efforts Target: Add at least one additional core partners to TAM team per 6-month period	Track and completed on a monthly basis. Information on additional member agencies will be provided to DHSES in required quarterly progress reporting
2.1.2	Performance Measure: #Number of Threat Cases (TCs) handled #Number of referrals for outside services by service type #Anonymized case status data referred to law enforcement #Anonymized case studies illustrating the impact of threat management	Completed on quarterly basis as part of the reporting requirements and case information anonymized with appropriate protective measures
2.2.1	Performance Measure: Number of trained personnel; Number of personnel accredited Target: All of the member agencies and their partners attend the delivered training; knowledge increases by 50%	Training information will be tracked; sign-in sheets will be provided to keep a record of those that attended the training; any accreditation will be documented in quarterly progress reporting
2.3.1	Performance Measure: Number of hits on software platform and number of community outreach events conducted and materials distributed Target: At least one public outreach event per year	Information will be collected through monitoring of website traffic on a monthly basis. Any outreach events will include sign-in sheets to record the number of attendees

Goal 3: Introduce and Engage the City of Troy to the State's TVTP program and support their efforts to create a TAM team

Objective 3.1: Support the City of Troy's efforts to begin a new TAM team in the Capital Region of New York

Goal 3 IMPLEMENTATION PLAN

Objectives	Activity	Inputs/Resources	Time Frame	Anticipated Outputs
Objective 3.1	Activity 3.1.1 – Conduct a formal kick-off meeting with the City of Troy to support TAM team development; engage existing TAM teams	Inclusion of ROCTAC personnel and CP3 to help facilitate and provide training/overview on TAM team development	Q1	Framework put in place; sample benchmarks provided to help guide TAM team development; Consistent follow-up and support provided throughout the process
	Activity 3.1.2 – Secure and fund contractual support to establish, manage and guide TAM team activities	Direct engagement throughout the process will be provided and resources allocated to support any procurement concerns	Q3	Efficient and consistent TAM team operations Identification of members
	Activity 3.1.3 – City of Troy formally launches their TAM team	Foundational training provided and expectations outlined. Regular meetings scheduled	Q4-Q8	Efficient and consistent TAM team operations Documentation of anonymized case numbers
	Activity 3.1.4 – TAM team members grow and activity increases	Engagement of other potential member agencies based on cases presented and examples outlined. Outreach occurs to socialize the concept with other Capital District regions	Q5-Q8	Socialization with other regional partners occurs through other regional partnerships (e.g., relevant Task Forces – school violence, law enforcement) At least 1 outreach event to occur within the period of performance

Goal 3 MEASUREMENT PLAN

Activity #	Performance Measures & Targets	Data Collection Method and Timeframe
3.1.1	Performance Measure: Number of trained personnel in kick-off meeting Target: Identify key personnel; conduct training and build their knowledge base by 50%	Training information will be tracked; sign-in sheets will be provided to keep a record of those that attended the training following the event. Any action items will be followed-up on within 24 hours and evaluation of training completed
3.1.2	Performance Measure: Identify additional members to support TAM team efforts Target: Add at least one additional core partners to TAM team per 6-month period	Track and completed on a monthly basis. Information on additional member agencies will be provided to DHSES in required quarterly progress reporting
3.1.3	Performance Measure: Number of trained personnel. Target: Identify key personnel; conduct training and build their knowledge base by 50%	Training information will be tracked; sign-in sheets will be provided to keep a record of those that attended the training following the event. Any action items will be followed-up on within 24 hours
3.1.4	Performance Measure: #Number of Threat Cases (TCs) handled #Number of referrals for outside services by service type #Anonymized case status data referred to law enforcement #Anonymized case studies illustrating the impact of threat management #Number of Additional member agencies #Number of outreach events conducted #Number of community organizations/citizens engaged	Completed on quarterly basis as part of the quarterly progress reporting requirements and case information anonymized with appropriate protective measures.

Goal 4: Leverage DHSES to facilitate statewide partnerships, coordination, and information-sharing, thus providing a foundation for broader TAM team development across the State moving forward

Objective 4.1: Conduct a Statewide TAM Conference to bring together key stakeholders from across the State on this topic to share information and best practices.
Objective 4.2: Continue to provide Threat Assessment training at the State Preparedness Training Center (SPTC) for public safety officials to develop a collective knowledge base on this topic for public safety officials statewide.
Objective 4.3: Ensure that DHSES staff leading this program have access to training and travel funds to be able to support their programmatic roles under the TVTP program.

Goal 4 IMPLEMENTATION PLAN

Objectives	Activity	Inputs/Resources	Time Frame	Anticipated Outputs
Objective 4.1	Activity 4.1.1: Plan and execute statewide TAM conference/summit, engaging all disciplines from federal, state, and local contacts and identifying core principles outlined by CP3	Engage existing TAM Teams; identify some of the challenges they have in implementation TAM teams and how to remedy it. Provide relevant successful closed cases to highlight the impact of prevention efforts	Q3-4	Enhanced knowledgebase for participants and new partnerships forged in the process through this unique networking opportunity
Objective 4.2	Activity 4.2.1: Provide Threat Assessment training at the State Preparedness Training Center (SPTC) for public safety officials to develop a collective knowledge base on this topic; and offer regular deliveries of courses	Leverage existing partnerships and stakeholder feedback to provide educational resources and curriculum; TVP101 course developed	Q2-Q8	Enhance knowledgebase, provide access to TAM training through posting on website; foster interest in implementing TAM teams in other jurisdictions
Objective 4.3	Activity 4.3.1 Conduct regular outreach to stakeholder groups to continue to promote TAM team concepts and to discuss indicators and warning signs of potential threat actors	Identification of best practices of TAM teams; provide an overview of the TVTP program; introduce resources offered by CP3	Q2-Q8	Increase knowledgebase for statewide partners; appeal to disciplines outside of public safety (e.g., education, mental health, nonprofits)

Goal 4 MEASUREMENT PLAN

Activity #	Performance Measures & Targets	Data Collection Method and Timeframe
4.1.1	Performance Measure: Number of conference attendees and disciplines represented; Number of interested jurisdictions Target: Obtain three (3) additional TAM teams	Track and record attendance. Conduct a post conference survey to collect feedback and identified needs. Provide AAR on the event to help inform CP3 and DHSES Executive Leadership
4.2.1	Performance Measure: Number of trained personnel; Number of jurisdictions requesting training Target: Knowledgebase of attendees increases by 50%	Training information will be tracked; sign-in sheets will be provided to keep a record of those that attended the training; Feedback obtained after training event to measure impact
4.3.1	Performance Measure: Number of attendees; Number of Disciplines/Community Groups Target: All of the member agencies and their partners attend the delivered training; knowledge increases by 50%	Outreach information will be tracked; sign-in sheets will be provided to keep a record of those that attended the events; information will be reported on a quarterly basis

Goal 5: Coordinate with key partners to better understand key trends related to targeted violence prevention, including characteristics and a database of averted attacks.

Objective 5.1: Identify an academic partner(s) to work with to identified key characteristics of averted attacks and to develop a comprehensive database of said attacks.

Goal 5 IMPLEMENTATION PLAN

Objectives	Activity	Inputs/Resources	Time Frame	Anticipated Outputs
Objective 5.1	Activity 5.1.1 – Identify and procure an academic partner(s) to work with on understanding and documenting averted attacks	Engage existing TAM Teams in efforts for recommendations and feedback; research extensively into academic studies being conducted; leverage all partnerships	Q2	Understanding of what research is being completed in the field of TAM and behavioral science; Choose a proven academic partner to spearhead project
	Activity 5.1.2 – Coordinate with selected academic partner(s) to develop a database of averted attacks and to identify key characteristics of said attacks	Coordinate staff; identify datasets and outline the goals of the project; utilize an appropriate platform to collect and analyze data	Q3-Q6	Enhance knowledgebase to help inform policy decisions and recommendations. Data-driven decision making provides meaningful outcomes
	Activity 5.1.3 – Share information gathered with key local, state, and federal partners and other key groups from the "whole community"	Engage all stakeholders that were developed through this initiative	Q2-Q8	Conduct virtual and in-person meetings to share this information with partners, collect feedback and increase knowledgebase to help inform future projects and policy decisions

Goal 5 MEASUREMENT PLAN

Activity #	Performance Measures & Targets	Data Collection Method and Timeframe
5.1.1	Performance Measure: Academic partner identified and procured appropriately (Yes/No) Target: By the end of Q2 contract/MOU is executed	Data will be collected in the quarterly progress reports
5.1.2	Performance Measure: Research design developed (Yes/No); Database created (Yes/No); Key Characteristics of averted attacks identified (Yes/No) Target: By the end of Q6 all data will be available for review/dissemination	Data will be collected in the quarterly progress reports
5.1.3	Performance Measure: Number of briefings delivered on research; Number of Attendees to include demographics Target: Briefings are delivered to 500 attendees across all disciplines and backgrounds	Feedback will be collected at each briefing and disseminated, and any action items will be followed-up on within 24 hours

Appendix A: Risk Management Plan

The following risk assessment chart is designed to assist in the identification of potential occurrences that would impact achieving project objectives, primarily those originating externally and that are outside of the organization's control. Risks could include, but are not limited to: economic, social, or political changes; changes to planned partnerships; legal or compliance changes; or other risks unique to this project. Use the chart below to identify these risks; add additional rows if necessary.

Risk Identified	**Risk Analysis** (brief assessment of the impact the identified risk could/would have on the project)	**Risk Management Plan** (plan to minimize the impact that the risk presents to the project and adjustments to be made if the risk transpires)
COVID-19 variants could cause in-person trainings to be unsafe and not possible	In-person training is the preferred modality as it is more engaging than virtual alternatives. A virtual training could result in decreased enthusiasm for registration and decreased engagement of attendees	Program will conduct trainings virtually if in-person trainings are not possible. Trainers will be trained on online engagement strategies to better keep audience engaged within online modality.
The successful ROCTAC model in Rochester is not able to be replicated in one (or more) of the other three upstate regions.	The foundational assumption of this project is that the successful ROCTAC model can be replicated in other areas. The ROCTAC model required strong leadership, "whole community" partnerships, and buy-in from the broader public community. If any of these factors are absent in the other upstate regions, then their ability to implement successful TAM Teams could be hindered.	This project risk can be mitigated through consistent engagement with senior leadership in the upstate regions that will implement new TAM Teams. DHSES will host monthly conference calls with the regions, in coordination with MCSO, to support this process. Strong senior leadership and commitment is the key that will bring together the "whole community" and will help to ensure community buy-in.
Police relationships with the communities they serve can be challenging and can change over time. There may initially not be community "buy in" for TAM Teams in the three other upstate regions.	If the broader community does not buy into the concept and use of TAM Teams, then the number of cases referred to their region's respective TAM Team could be limited.	This risk can be mitigated through effective public engagement, utilizing the media as a tool to share this message and increasing media literacy. The importance of TAM Teams can be highlighted, along with information on their processes and protection measures can be shared. This proactive outreach strategy will help increase public comfort with how TAM Teams operate and how they have been successful.

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