## BRENNAN CENTER For justice

# New York City Police Department Surveillance Technology

By Ángel Díaz PUBLISHED OCTOBER 7, 2019

**n every age, police forces gain access to new tools** and technologies that may advance their mission to prevent and combat crime. The deployment of new technologies requires an understanding of their impacts on the fundamental rights of the communities that police serve and the development of safeguards to prevent abuse. The New York Police Department (NYPD), however, has purchased and used new surveillance technologies while attempting to keep the public and the City Council in the dark.

This chart provides an overview of the NYPD's surveillance technology, based on publicly available information, as well as the potential impact of the use of these tools. Because the police insist on complete secrecy, however, the picture is far from complete. The NYPD should not be allowed to prevent the public and its elected representatives from learning basic information necessary on these technologies, which is critical to effective oversight and the establishment of safeguards to protect the privacy and civil liberties of New Yorkers. The <u>POST Act</u>, introduced by Council Member Vanessa Gibson and currently supported by 28 co-sponsors, would require NYPD to take these steps.

### **Facial Recognition**

| How It Works  | Impact   | NYPD Policy & Scope of Use  | Further Reading   |
|---|--|---|---|
| Facial recognition<br>systems attempt<br>to identify or verify<br>the identify of<br>individuals based<br>on their face.<br>Different systems<br>analyze face<br>characteristics<br>in photos or<br>video feeds, or<br>through real-time<br>surveillance. | <ul> <li>Facial recognition raises the following concerns:</li> <li><b>Race, Gender, and Age</b></li> <li><b>Bias.</b> Numerous studies have found that facial recognition performs poorly when analyzing the faces of women, children, and people with darker skin tones.<sup>1</sup> This places communities already subject to overpolicing at greater risk of misidentification.</li> <li><b>Privacy.</b> Facial recognition is recognized as extraordinarily intrusive, challenging reasonable expectations of privacy and lacking necessary oversight. This is why a number of groups have called for a moratorium on facial recognition.</li> <li><b>Free Speech.</b> Law enforcement use of facial recognition can chill the exercise of First Amendment rights by exposing protesters to persistent surveillance and identification.</li> <li><b>Regulation.</b> There have been widespread calls for its regulation<sup>2</sup>, and some cities — such as San Francisco<sup>3</sup>; Oakland<sup>4</sup>, CA; and Somerville, MA<sup>5</sup> — have even banned its use.</li> </ul> | Chief of Detectives Memo #3 (2012).<br>NYPD's Facial Identification Section (FIS) runs<br>static photos obtained from various sources,<br>including databases of arrest photos, juvenile<br>arrest photos of children as young as 11, and<br>photos connected to pistol permits, among<br>others. <sup>6</sup> The system analyzes a photo against<br>those databases and generates potential<br>matches. <sup>7</sup> The system will return a list of 200+<br>potential matches from which an FIS investi-<br>gator selects one. <sup>8</sup><br>Where the footage is blurry or otherwise unus-<br>able, the NYPD can use photo editing tools to<br>replace facial features in a reference photo so<br>it more closely resembles those in mugshots. <sup>9</sup><br>The NYPD has also run photos of celebrities<br>through its facial recognition system to try to<br>identify suspects that resemble the celebrity<br>where the original photo returned no match-<br>es. <sup>10</sup> The effectiveness of these techniques is<br>doubtful. | Garbage In, Garbage Out<br>- Face Recognition on<br>Flawed Data (Georgetown<br>Law Center on Privacy &<br>Technology)The NYPD uses altered<br>images in its facial<br>recognition system, new<br>documents show (The<br>Verge)Review on the effects of<br>age, gender, and race de-<br>mographics on automatic<br>face recognition (The<br>Visual Computer, Volume<br>34)She Was Arrested at 14.<br>Then Her Photo Went<br>to a Facial Recognition<br>Database (The New York<br>Times)Gender Shades: In-<br>tersectional Accuracy<br>Disparities in Commercial<br>Gender Classification<br>(Proceedings of Machine<br>Learning Research, Vol-<br>ume 81)NYPD ripped for abusing<br>facial-recognition tool (NY<br>Daily News)Coalition Letter Calling for<br>a Federal Moratorium on<br>Face Recognition (ACLU)Face it: Recognition tech-<br>nology isn't close to ready<br>for prime-time (NY Daily<br>News)Face it: This is risky tech.<br>We need to put strong<br>controls on face-recogni-<br>tion technology (NY Daily<br>News)Facial Recognition Is<br>Accurate, if You're a White<br>Guy (The New York Times)Interactive Facial Recogn-<br>tion Map (Fight for the<br>Future) |

### **Video Analytics**

| How It Works  | Impact  | NYPD Policy & Scope of Use  | Further Reading   |
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| These systems an-<br>alyze surveillance<br>camera footage<br>and attempt to<br>isolate people and<br>objects within<br>the video feed.<br>Video analytics use<br>algorithms to spot<br>particular articles<br>of clothing and<br>luggage. Certain<br>versions claim they<br>can find people<br>in surveillance<br>footage that match<br>a particular hair<br>color, facial hair,<br>and even skin tone. | Video analytics raise the<br>following concerns:<br>False Positives. Informa-<br>tion from video analytics<br>can be incorrect and<br>lead to unnecessary and<br>potentially dangerous<br>police encounters.<br>Free Speech. Video<br>analytics, like facial<br>recognition, can chill First<br>Amendment activity by<br>exposing individuals to<br>persistent surveillance as<br>they move about the city.<br>Racial Bias. Without<br>adequate controls,<br>targeting individuals<br>based on their perceived<br>ethnicity has the ability<br>to exasperbate racial<br>disparities in policing.<br>Privacy. Video analytics<br>allow for persistent sur-<br>veillance as individuals<br>move throughout the city,<br>challenging traditional<br>expectations of privacy. | No standalone NYPD policy is available,<br>though video analytics may fall under the<br><u>Public Security Privacy Guidelines</u> that gov-<br>ern the NYPD's Domain Awareness System.<br>These guidelines make no mention of video<br>analytics, however, and they do not include<br>standards governing the use or storage of<br>analytics information.<br>IBM developed object identification technolo-<br>gy through a partnership with the police that<br>gave the company access to the department's<br>camera footage. <sup>11</sup> The NYPD then acquired<br>IBM's object identification system to incor-<br>porate it into the NYPD's <u>Domain Awareness<br/>System</u> . <sup>12</sup><br>As of April 23, 2019, IBM stopped marketing<br>certain versions of its Video Analytics program<br>to additional cities. <sup>13</sup> It is not clear what this<br>means for IBM's existing customers.<br>According to the NYPD, the analytics system<br>is intended to automatically alert NYPD offi-<br>cials to activities, such as "suspicious package<br>was left" or "loitering." <sup>14</sup><br>A version of IBM's Intelligent Video Analytics<br>2.0, which allows users to search based on<br>ethnicity tags, was allegedly tested but never<br>incorporated into the NYPD's broader surveil-<br>lance infrastructure. <sup>15</sup> | IBM Intelligent Video<br>Analytics (IBM Vendor<br>Material)IBM Presentation Regard-<br>ing NYPD Video Analytics<br>Development (IBM)IBM Used NYPD Surveil-<br>lance Footage to Develop<br>Technology That Lets Po-<br>lice Search by Skin Color<br>(The Intercept)The Dawn of Robot Sur-<br>veillance: AI, Video Analyt-<br>ics, and Privacy (ACLU) |

### **Social Media Monitoring**

| How It Works   | Impact   | NYPD Policy & Scope of Use   | Further Reading  |
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| Social media<br>monitoring can be<br>divided loosely into<br>three categories:   | Social media monitor-<br>ing raises the following<br>concerns:   | <u>NYPD Detective Guide (2013)</u> and <u>Opera-</u><br>tions Order 34: Use Of Social Networks for<br><u>Investigative Purposes – General Procedure,</u><br>New York Police Department (2012). Policies  | <u>Government Monitoring</u><br>of Social Media: Legal and<br>Policy Challenges (Bren-<br>nan Center)  |
| <ul> <li>(1) Monitoring or<br/>tracking an individ-<br/>ual, a group, or an<br/>affiliation (e.g., an<br/>online hashtag) via<br/>publicly available<br/>information;</li> <li>(2) Using an</li> </ul> | False Positives. What<br>people say and do on<br>social media are difficult<br>to interpret, and connec-<br>tions on social media can<br>be given undue impor-<br>tance or misunderstood<br>completely.Privacy. Social media                 | permit officers to monitor social media for<br>information and investigative leads.<br><u>Handschu Guidelines (2017)</u> . These guide-<br>lines are the result of a settlement arising out<br>of the NYPD's unconstitutional surveillance<br>of protesters and religious minorities. The<br>Handschu Guidelines allow officers to carry<br>out general topical research, but they prohibit<br>them from searching for individuals' names. <sup>16</sup> | NYPD monitoring of Black<br>Lives Matter protest<br>movements via social<br>media (The Appeal)<br>NYPD Social Media<br>Monitoring Policy Allows<br>For Use Of Aliases, Has<br>Exceptions For Terrorist                                       |
| informant, a friend<br>of the target, or<br>an undercover<br>account to obtain<br>information from a<br>protected or private<br>account; or<br>(3) Using soft-<br>ware to monitor                      | monitoring is intrusive,<br>challenging individuals'<br>reasonable expectations<br>of privacy in online com-<br>munications.<br><b>Racial Bias.</b> In the<br>context of gang inves-<br>tigations, communities<br>of color (especially chil- | However, to develop intelligence information<br>or to detect or prevent terrorism or other un-<br>lawful activities, the NYPD is also permitted to<br>conduct online searches in the same manner<br>as any member of the public, which would<br>permit the police to access popular social<br>media platforms. <sup>17</sup><br>Various NYPD units engage in social media  | Activity (Tech Dirt)<br>Stop and Frisk Online:<br>Theorizing Everyday<br>Racism in Digital Polic-<br>ing in the Use of Social<br>Media for Identification of<br>Criminal Conduct and As-<br>sociations (Social Media +<br>Society, Volume 3) |
| individuals, groups,<br>associations, or<br>locations.<br>Police officers can  | dren) are more likely to<br>have their online activity<br>surveilled.<br><b>Free Speech.</b> Surveilling   | monitoring, including the Intelligence, Juvenile<br>Justice, Counterterrorism, Gang Enforcement,<br>Internal Affairs, Executive Staff Identity Pro-<br>tection, and Threat Assessment divisions. <sup>18</sup>   | <u>The Strange Aftermath of</u><br><u>the Largest Gang Bust in</u><br><u>New York History (Vice)</u>   |
| also obtain war-<br>rants or use other<br>legal processes<br>to direct a social<br>media platform to<br>provide informa-   | social media also has<br>the potential to chill free<br>expression, including<br>by causing individuals<br>to self-censor and by<br>monitoring lawful protest  | The full extent of social media monitoring by<br>the NYPD is unknown, but it has been used in<br>investigations ranging from tracking alleged<br>gang activity <sup>19</sup> to surveilling Black Lives Matter<br>protesters. <sup>20</sup>  | Private Eyes, They're<br>Watching You: Law En-<br>forcement's Monitoring of<br>Social Media (Oklahoma<br>Law Review, Volume 71)  |
| tion, such as direct<br>messages, metada-<br>ta, and subscriber<br>information.  | activities and other forms<br>of protected association.  |  | <u>The Wildly Unregulated</u><br><u>Practice of Undercover</u><br><u>Cops Friending People on</u><br><u>Facebook (The Root)</u>  |
|  |  |  | <u>To Stem Juvenile Rob-</u><br><u>beries, Police Trail Youths</u><br><u>Before the Crime (The</u><br><u>New York Times)</u>   |
|  |  |  | Undercover cops break<br>Facebook rules to track<br>protesters, ensnare crimi-<br>nals (NBC News)  |

#### Criminal Group Database, aka the "Gang Database"

| How It Works  | Impact  | NYPD Policy & Scope of Use   | Further Reading  |
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| Gang databases<br>contain information<br>about individuals<br>who police regard<br>as confirmed or<br>suspected gang<br>members. The<br>criteria for inclusion<br>in the database are<br>not always known,<br>but can include<br>poorly-defined<br>activities such as<br>associations with<br>suspected gang<br>members, various<br>styles of dress,<br>numerous clothing<br>colors, and certain<br>tattoos.<br>In some instances,<br>activity far removed<br>from gang connec-<br>tions, such as draw-<br>ing a high school<br>mascot <sup>21</sup> or simply<br>frequenting an area<br>where gangs are<br>known to assem-<br>ble <sup>22</sup> has landed<br>individuals in a<br>gang database. | Gang databases raise the<br>following concerns:<br><b>Racial Bias.</b> The vague<br>and broad criteria for in-<br>clusion, open the door to<br>racial bias. NYPD officials<br>have acknowledged that<br>as many as 95 percent<br>of the people in its gang<br>database are Black or<br>Latinx. <sup>23</sup><br><b>Impact on immigration</b><br><b>status.</b> A gang affilia-<br>tion can have negative<br>consequences for an<br>individual's interactions<br>with federal immigration<br>authorities. Immigration<br>authorities. Immigration<br>and Customs Enforce-<br>ment (ICE) agents have<br>been known to target<br>individuals that have<br>been identified as gang<br>members in police<br>databases. <sup>24</sup> The extent<br>of information sharing<br>between the NYPD and<br>ICE is not properly under-<br>stood.<br><b>False Positives.</b> Gang<br>databases are notori-<br>ously inaccurate and<br>over-inclusive. Individuals<br>generally do not know if<br>they are in the database,<br>and there is not always a<br>mechanism for challeng-<br>ing their inclusion. | There is no public NYPD policy. The informa-<br>tion we know about the NYPD's use of the<br>gang database comes from NYPD's testimony<br>during city council proceedings. According to<br>the NYPD, there are two ways individuals get<br>added to the Gang Database:<br>(1) Self-admission of "gang membership" to<br>a member of the NYPD <sup>25</sup> , being identified as<br>a gang member by two "independent and<br>reliable sources," or "social media posts ad-<br>mitting to membership in a gang." It is unclear<br>whether NYPD requires a clear declaration<br>of membership, or if vague associations per-<br>ceived by investigating officers will do.<br>(2) If any two of the following circumstances<br>are true:<br>(a) Frequent presence at a known gang loca-<br>tion (this criteria may capture huge numbers<br>of people who have no association besides re-<br>siding in an area with active gang members);<br>(b) Possession of "gang-related documents"<br>(without more information, it is difficult to<br>determine what kinds of "documents" are<br>being referred to and whether there may be<br>innocuous reasons to possess them);<br>(c) Association with known gang members (it<br>is possible to have friends and family who are<br>gang members without joining it);<br>(d) Social media posts with known gang<br>members while possessing known gang para-<br>phernalia, such as beads, flags, and bandanas<br>(there are many reasons to pose with known<br>gang members for social media, including for<br>safety or familial ties);<br>(e) Scars and tattoos associated with a partic-<br>ular gang; or<br>(f) Frequently wearing colors and frequent<br>use of hand signs that are associated with a<br>particular gang.<br>As of June 2018, the NYPD's gang database<br>contained around 17,600 individuals, down<br>from a high of 34,000. <sup>26</sup> | Groups Demand to See<br>Criteria for NYPD Gang<br>Database (Courthouse<br>News Service)NYPD Gang Database Can<br>Turn Unsuspecting New<br>Yorkers into instant Felons<br>(The Intercept)NYPD honcho insists<br>gang database saves lives,<br>but a teary City Council<br>member said it can have<br>devastating consequenc-<br>es (NY Daily News)How Gang Victims Are La-<br>belled as Gang Suspects<br>(The New Yorker)The Database (BRIC TV,<br>Vimeo video)The fight against the<br>Policing and Social Justice<br>Project, Youtube video)When a Facebook Like<br>Lands You in Jail (Brennan<br>Center)Spotlight: The Dangers<br>of Gang Databases<br>and Gang Policing (The<br>Appeal) |

#### **Predictive Policing**

| How It Works   | Impact  | NYPD Policy & Scope of Use  | Further Reading   |
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| There are two<br>types of predictive<br>policing programs:<br>place-based and<br>person-based.<br>Place-based<br>predictive policing<br>uses algorithms to<br>analyze data sets in<br>order to try to pre-<br>dict where certain<br>crimes are likely<br>to occur. These<br>estimates are used<br>to inform where<br>police officers are<br>deployed.<br>Person-based pre-<br>dictive policing ana-<br>lyzes data sets in<br>order to generate a<br>list of individuals an<br>algorithm believes<br>are likely to commit<br>a crime. | Predictive policing raises<br>the following concerns:<br><b>Racial Bias.</b> Predictive<br>policing tools incorporate<br>historical policing data<br>to generate predictions.<br>This makes it likely<br>that these systems will<br>recreate biased polic-<br>ing practices that have<br>resulted in the over-po-<br>licing of communities of<br>color or data that has<br>been manipulated to<br>reflect higher or lower<br>incidences of crimes. For<br>example, historical NYPD<br>arrest data may be taint-<br>ed by its unconstitutional<br>stop-and-frisk program<br>or by data manipulation<br>tactics such as falsifying<br>arrest quotas.<br><b>Privacy.</b> Predictive polic-<br>ing tools undermine con-<br>stitutional requirements<br>that police should target<br>individuals based on indi-<br>vidualized suspicion, not<br>statistical probability. | There is no public NYPD policy, but the de-<br>partment has stated that its <u>Public Security</u><br><u>Privacy Guidelines</u> for the Domain Awareness<br>System govern predictive policing. These<br>guidelines do not refer to predictive polic-<br>ing systems, and they describe the Domain<br>Awareness System as a system to "monitor<br>public areas and public activities," which does<br>not describe predictive policing.<br>The NYPD uses its own proprietary system<br>that tries to locate hotspots for a particular<br>crime based on an unknown number and<br>type of data inputs. <sup>27</sup> Much of what we know<br>about the NYPD's system comes from the<br>Brennan Center's three-year legal fight with<br>the NYPD over our public records request for<br>documents about the development and use of<br>the system.<br>We do not have a complete picture of the sys-<br>tem's inputs and outputs, but the NYPD says<br>that its system "was not designed to store,<br>maintain, or archive output predictions." <sup>28</sup> The<br>failure to archive predictions frustrates the<br>ability to study or audit the system for bias<br>and related concerns.<br>NYPD correspondence with potential vendors<br>suggests an openness to using data inputs<br>that could function as racial proxies, though<br>it's not known if these inputs are incorporated<br>into the NYPD's system. These include demo-<br>graphic data, school enrollment, educational<br>attainment, income levels, journey to work,<br>poverty levels, median income, and population<br>under age 18. <sup>29</sup> | NYPD Predictive Policing<br>Documents (Brennan<br>Center)Predictive Policing Goes<br>to Court (Brennan Center)'Red Flags' as New<br>Documents Point to Blind<br>Spots of NYPD 'Predictive<br>Policing' (The Daily Beast)Court: Public Deserves<br>to Know How NYPD Uses<br>Predictive Policing Soft-<br>ware (Brennan Center)Dirty Data, Bad Predic-<br>tions: How Civil Rights<br>Violations Impact Policing<br>Systems, and Justice<br>(New York University Law<br>Review Online)The New York City Police<br>Department's Domain<br>Awareness System (NYPD<br>academic article) |

### Cell Site Simulators, aka "Stingrays"

| How It Works   | Impact   | NYPD Policy & Scope of Use  | Further Reading  |
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| Cell site simulators,<br>also known as<br>Stingrays or IMSI<br>catchers, are<br>devices that trick<br>phones within a<br>certain radius into<br>connecting to the<br>device rather than<br>a cell tower, thus<br>revealing their<br>location to the<br>operator of the<br>device.<br>Police departments<br>use cell-site simu-<br>lators to pinpoint<br>the location of<br>phones of targeted<br>suspects. Cell-site<br>simulators can also<br>log IMSI numbers<br>(unique identifying<br>numbers) of all mo-<br>bile devices within a<br>given area.<br>Additionally, while<br>there is no evidence<br>NYPD has used this<br>functionality, some<br>cell-site simulators<br>can intercept com-<br>munications that a<br>phone is sending or<br>receiving, and they<br>can even change<br>the content of<br>those communica-<br>tions. <sup>30</sup> | Cell site simulators raise<br>the following concerns:<br><b>Privacy.</b> Cell-site simula-<br>tors can locate and track<br>individuals as they move<br>throughout public and<br>private spaces, including<br>when they are within a lo-<br>cation that would require<br>a warrant to enter. They<br>are also indiscriminate,<br>tricking every phone<br>within their radius into<br>providing identifying in-<br>formation. In a dense city<br>like New York, this means<br>numerous bystander<br>devices will be picked up<br>along with the targeted<br>device.<br><b>Free Speech.</b> Without<br>appropriate safeguards,<br>cell-site simulators can<br>be used to identify the<br>individuals who attend<br>protests or particular<br>houses of worship. | There is no public NYPD policy.<br>In 2017, a Brooklyn judge held that police<br>use of Stingrays requires a warrant support-<br>ed by probable cause. <sup>31</sup> Prior to this ruling,<br>NYPD stated that its practice was to obtain<br>a pen-register order — an order issued by a<br>judge — so long as police can show reason-<br>able suspicion. <sup>32</sup><br>Between 2008 and 2015, NYPD used Sting-<br>rays in over 1,000 investigations. <sup>33</sup> There is no<br>publicly available information on whether the<br>police purged extraneous data. | Cellphones, Law Enforce-<br>ment, and the Right to Pri-<br>vacy (Brennan Center)<br>Brooklyn Court: NYPD's<br>Use of Cell-Phone<br>Trackers Unconstitutional<br>(Brennan Center)<br>Did the Police Spy on<br>Black Lives Matter Pro-<br>testers? The Answer May<br>Soon Come Out (The New<br>York Times)<br>New York Police Are<br>Using Covert Cellphone<br>Trackers, Civil Liberties<br>Group Says (The New<br>York Times) |

#### **Automated License Plate Readers**

| How It Works  | Impact   | NYPD Policy & Scope of Use   | Further Reading  |
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| Automated license<br>plate readers (AL-<br>PRs) are devices<br>that are attached to<br>police cars or fixed<br>on poles to capture<br>the license plates of<br>all cars passing by.<br>License plate reads<br>are also frequently<br>run against a "hot<br>list" of, for instance,<br>stolen cars or AM-<br>BER Alerts.<br>In addition to<br>license plates,<br>ALPRs can capture<br>photographs of<br>cars, along with<br>photos of the driver<br>and passengers.<br>This information<br>is uploaded to a<br>database where it<br>can be analyzed to<br>study movements,<br>associations, and<br>relationships to<br>crimes. | ALPRs raise the following<br>concerns:<br><b>False Positives.</b> Infor-<br>mation from ALPRs can<br>be incorrect and lead to<br>unnecessary and poten-<br>tially dangerous police<br>encounters.<br><b>Privacy.</b> ALPR data<br>can provide a detailed<br>account of an individual's<br>movements. It can be<br>used to target people<br>who visit sensitive places,<br>such as immigration clin-<br>ics, protests, or houses of<br>worship.<br><b>Impact on Immigration</b><br><b>Status.</b> Police agencies<br>can choose to share<br>their ALPR information<br>with federal immigration<br>authorities. According to<br>a public records request,<br>ICE has received ALPR<br>data from 80 different<br>police departments,<br>including Fairfield, CT;<br>San Diego, CA; Orange<br>County, Texas; and Ath-<br>ens-Clarke County, GA;<br>among others. <sup>34</sup><br>It is not known whether<br>the NYPD shares ALPR<br>data with ICE, but the<br>Public Security Privacy<br>Guidelines permit the<br>sharing of ALPR infor-<br>mation with government<br>entities. | <ul> <li>Public Security Privacy Guidelines (2009).</li> <li>License Plate Reader Devices Operations<br/>Order (2013).</li> <li>The NYPD operates nearly 500 license plate<br/>readers as part of its Domain Awareness<br/>System,<sup>35</sup> and as of 2013, the department had<br/>a database of 16 million license plate reads.<sup>36</sup></li> <li>The NYPD has used license plate readers to<br/>collect information about the cars parked in<br/>mosque parking lots.<sup>37</sup></li> <li>Through its contract with the vendor Vigilant<br/>Solutions, the NYPD now has access to a<br/>database that contains over 2.2 billion license<br/>plate reads.<sup>38</sup> Vigilant Solutions has a national<br/>database of license plates, a national network<br/>of private ALPRs, and analytical tools that al-<br/>low police to "stake out" areas, predict where<br/>certain individuals may be, and track individu-<br/>als outside of New York City.<sup>39</sup></li> <li>We do not currently know if NYPD shares the<br/>data it gets from its own ALPRs with other cli-<br/>ents of Vigilant Solutions as well as other law<br/>enforcement or federal immigration agencies,<br/>as some cities do.</li> </ul> | Documents Reveal ICE<br>Using Driver Location<br>Data From Local Police for<br>Deportations (ACLU)<br>Documents Uncover<br>NYPD's Vast License Plate<br>Reader Database (ACLU)<br>Thousands of ICE employ-<br>ees can access license<br>plate reader data, emails<br>show (The Verge)<br>License plate reader error<br>leads to traffic stop at<br>gunpoint, court case (Ars<br>Technica)<br>Data Driven: Explore<br>How Cops Are Collecting<br>and Sharing Our Travel<br>Patterns Using Automat-<br>ed License Plate Read-<br>ers (Electronic Frontier<br>Foundation)<br>Privacy advocate held<br>at gunpoint after license<br>plate reader database<br>mistake, lawsuit alleges<br>(The Verge) |

#### Domain Awareness System

| How It Works  | Impact   | NYPD Policy & Scope of Use   | Further Reading   |
|---|--|--|---|
| The Domain Aware-<br>ness System (DAS)<br>is a network of<br>cameras, software,<br>sensors, databases,<br>devices, and related<br>infrastructure that<br>provides informa-<br>tion and analytics<br>to police officers<br>for the purposes<br>of "public safety"<br>and to "detect,<br>deter, and prevent<br>potential terrorirst<br>activities." | DAS raises the following<br>concerns:<br><b>Privacy.</b> DAS creates a<br>system of persistence<br>surveillance that covers<br>vast swaths of New York<br>City, which can be used<br>to monitor the move-<br>ments of New Yorkers as<br>they move throughout<br>the city.<br><b>False Positives.</b> False<br>matches from various<br>components, such as<br>automatic license plate<br>readers, can place<br>innocent people at risk<br>of dangerous police<br>encounters. <sup>40</sup><br><b>Data May be Shared.</b><br>The extent to which in-<br>formation obtained from<br>the DAS is shared with<br>federal agencies, such as<br>immigration authorities,<br>remains unknown. | <ul> <li>The system's <u>Public Security Privacy Guide-lines (2009)</u> specify that the purpose of the DAS is to detect and prevent terrorist attacks, but the NYPD may use these technologies for ordinary police investigations, including the detection of loiterers.<sup>41</sup> The guidelines fail to cover technologies, such as video analytics, that have been incorporated since they were issued.</li> <li>The NYPD's DAS collects and analyzes data from a variety of sources in lower and midtown Manhattan, including approximately: 9,000 CCTV cameras, some owned by the NYPD and some owned by private entities that share their feeds with police.<sup>42</sup></li> <li>500 license plate readers,<sup>43</sup> plus information obtained from contractor Vigilant Solutions.<sup>44</sup></li> <li>Radiation and chemical sensors.<sup>45</sup></li> <li>NYPD databases, including arrest records, criminal records, etc<sup>46</sup></li> <li>ShotSpotter coverage (see below for additional information).<sup>47</sup></li> <li>911 calls.<sup>48</sup></li> </ul> | How New York City is<br>watching you (City &<br>State New York)<br>NYPD Domain Aware-<br>ness System (DAS) (The<br>Institute for Operations<br>Research and the Man-<br>agement Sciences)<br>The New York City Police<br>Department's Domain<br>Awareness System (NYPD<br>article, INFORMS Journal<br>on Applied Analytics,<br>Volume 47) |

#### Drones

| How It Works  | Impact  | NYPD Policy & Scope of Use  | Further Reading   |
|---|---|---|---|
| Drones are re-<br>motely operated<br>aircraft — ranging   | Drones raise the follow-<br>ing concerns:   | Patrol Guide: Use of Unmanned Aircraft<br>System (2018).  | <u>New York's New Eyes in</u><br><u>the Sky (Slate)</u>   |
| in size — that can<br>be equipped with<br>various camer-<br>as, sensors, and<br>other devices. For<br>example, they can<br>deploy cameras | <b>Privacy.</b> Without proper<br>oversight, drones can en-<br>gage in forms of surveil-<br>lance that can redefine<br>reasonable expectations<br>of privacy. Drones can<br>also be used to collect in- | The NYPD's policy specifies that it will not<br>equip drones with facial recognition, but<br>it contains a large carve-out for situations<br>where there is a "public safety concern." <sup>49</sup> It is<br>unclear if there are any restrictions on running<br>historical drone footage through a separate<br>facial recognition system. | New York Police Say They<br>Will Deploy 14 Drones<br>(The New York Times)<br>Eyes In The Sky: The Pub-<br>lic Has Privacy Concerns<br>About Drones (Forbes) |
| capable of facial<br>recognition, and<br>can also contain<br>GPS trackers and<br>Stingray devices.  | formation about bystand-<br>ers who are not connect-<br>ed to a law enforcement<br>investigation. These risks<br>are largely invisible, as<br>drones can be difficult<br>for ordinary persons to        | The policy also specifies that drone footage<br>will only be retained for 30 days, but it con-<br>tains a carve-out that allows this period to be<br>extended for various types of legal investiga-<br>tions. <sup>50</sup>   | New NYPD Drone Policy<br>Represents A Serious<br>Threat to Privacy (New<br>York Civil Liberties Union)  |
|   | detect or protect against<br>depending on their size<br>or altitude.<br>Free Speech. Without<br>proper oversight, drones<br>can be deployed to sur-   | According to the NYPD, the department<br>deploys drones for uses such as crowd<br>control, hostage situations, and reaching<br>remote areas. The NYPD says drones will not<br>be used for routine police patrols, to enforce<br>traffic laws, or for "unlawful surveillance, <sup>51</sup> but<br>the NYPD has deployed drones to monitor   |   |
|   | veill individuals in ways<br>that chill free expression.  | protesters at least once during the 2019 NYC<br>Pride March. <sup>52</sup>  |   |

#### X-ray Vans

| How It Works  | Impact   | NYPD Policy & Scope of Use  | Further Reading  |
|---|--|---|--|
| These vans use "Z<br>backscatter" x-rays<br>that bounce off<br>objects, allowing<br>the police to see<br>into vehicles and<br>behind walls as the<br>van drives by. | X-ray vans raise the<br>following concerns:<br><b>Privacy.</b> X-ray vans raise<br>privacy and constitu-<br>tional concerns, as they<br>potentially allow police to<br>examine intimate details<br>of human bodies, private<br>vehicles, and even inside<br>homes.<br><b>Health.</b> X-ray vans raise<br>health concerns as they<br>may expose individuals<br>to doses of ionizing<br>radiation. | There is no public NYPD policy.<br>The ways in which the NYPD uses x-ray vans<br>and for which types of investigations remain<br>largely unknown. <sup>53</sup> | Split Decision on NYPD's<br>X-ray Vans (ProPublica)NYPD has super-secret<br>X-ray vans (New York<br>Post)Public Sees Through<br>NYPD X-Ray Vans (Polic-<br>ing Project at NYU School<br>of Law)The NYPD Is Using Mobile<br>X-Ray Vans to Spy on<br>Unknown Targets (The<br>Atlantic) |

#### **Gunshot Detection System (ShotSpotter)**

| How It Works   | Impact   | NYPD Policy & Scope of Use  | Further Reading   |
|--|--|---|---|
| The privately<br>developed<br>ShotSpotter<br>system uses<br>sensors to pick up<br>sounds that appear<br>to be gunshots.<br>Audio snippets<br>are automatically<br>sent to vendor<br>employees who<br>attempt to verify<br>whether the<br>sound represents<br>a shooting. The<br>vendor employee<br>then transmits<br>information about<br>the potential<br>shooting to police<br>department clients. | Gunshot detection sys-<br>tems raise the following<br>concerns:<br>False Positives. This sys-<br>tem can make mistakes<br>and confuse ordinary<br>background noise as<br>gunshots.<br>Privacy. Recordings of<br>ambient noise can be<br>misued to target voice<br>surveillance by record-<br>ing audio from selected<br>ShotSpotter devices. | There is no standalone NYPD policy, but it<br>may be subject to the DAS's <u>Public Security</u><br><u>Privacy Guidelines</u> , since gunshot detection<br>systems are incorporated into the NYPD's<br>Domain Awareness System.<br>The NYPD's ShotSpotter system uses sensors<br>that triangulate the location of sounds that<br>may be gunshots. If a ShotSpotter employee<br>believes a shooting occurred, the system then<br>sends data, including audio of the incident, to<br>the Domain Awareness System. <sup>54</sup> Cameras<br>within 500 feet are programmed to capture<br>footage before and after the suspected<br>gunshot. <sup>55</sup> Investigators at the NYPD Domain<br>Awareness System then transmit relevant<br>data to field officers. <sup>56</sup> | Here's How the NYPD's<br>Expanding ShotSpotter<br>System Works (DNAinfo)<br>Privacy Audit & Assess-<br>ment of ShotSpotter,<br>Inc.'s Gunshot Detection<br>Technology (Policing,<br>Project at NYU School of<br>Law)<br>The NYPD's newest tech-<br>nology may be recording<br>conversations (Business<br>Insider) |

#### DNA Database aka the Local DNA Index System

| How It Works   | Impact   | NYPD Policy & Scope of Use   | Further Reading  |
|--|--|--|--|
| DNA databases<br>contain genetic<br>information about<br>individuals, which  | DNA databases raise the following concerns:<br><b>Privacy.</b> Biometric sam-  | Detective Guide (2013) contains redacted instructions for collecting "abandoned" DNA samples in both "controlled" and "uncontrolled" environments.   | N.Y.P.D. Detectives Gave a<br>Boy, 12, a Soda. He Land-<br>ed in a DNA Database<br>(The New York Times)  |
| can be analyzed<br>against a suspect's<br>DNA for a potential<br>match. According<br>to media reports,<br>the NYPD's DNA<br>database contains<br>as many as 2472 | ples for DNA databases<br>can be collected without<br>appropriate standards<br>that respect individual<br>privacy. Individuals are<br>not always given a full<br>and accurate representa-<br>tion of how their genetic | Chief of Detectives Memo #17 (2010). The<br>memo contains instructions for how to collect<br>"abandoned" DNA samples from objects such<br>as water bottles, bubble gum, and apples<br>for submission to Office of the Chief Medical<br>Examiner (OCME) for examination.  | NYPD detectives demand-<br>ed DNA swabs from hun-<br>dreds of black and Latino<br>men while hunting killer of<br>Howard Beach jogger (NY<br>Daily News)  |
| as many as 82,473<br>genetic profiles,<br>including samples<br>obtained from<br>children. <sup>57</sup>  | profile will be used, and<br>there are often no proto-<br>cols for deletion.   | Many individuals in DNA databases have<br>never been accused or convicted of any crime,<br>and there are limited avenues for impacted<br>indivudials to request deletion.  | How Juveniles Get Caught<br>Up In The NYPD's Vast<br>DNA Dragnet (Gothamist)   |
|  | In addition, voluntary<br>samples can be collect-<br>ed from children that<br>are incapable of giving  | There are three methods for the NYPD to obtain biometric samples for DNA analysis:   | Legal Aid Society is Work-<br>ing to Protect New Yorkers<br>From 'Genetic Stop and<br>Frisk' (NowThis News)  |
|  | informed consent. Finally,<br>the secret collection of<br>"abandoned" genetic<br>samples means that<br>many individuals have no  | <ul> <li>Voluntary sample. Officers can ask individuals to provide a biometric sample for<br/>DNA analysis, but they are not necessarily<br/>required to disclose that it may be used for<br/>an unlimited number of investigations and<br/>that the sample will be ratined indefinition.</li> </ul>   | Push to solve gun cases<br>fuels rapid growth of New<br>York's DNA database (NY<br>Daily News)   |
|  | notice that their genetic<br>information was collect-<br>ed and added to a city<br>database.<br><b>Racial Bias.</b> Commu-   | that the sample will be retained indefi-<br>nitely. They are also not required to tell<br>individuals that they are allowed to refeuse<br>consent. At times, police collect biometric<br>samples from children without a lawyer,<br>parent, or guardian present.   | New York Examines Over<br>800 Rape Cases for<br>Possible Mishandling of<br>Evidence (The New York<br>Times)  |
|  | nities of color are likely<br>overrepresented in DNA<br>databases resulting from<br>overpolicing of specific<br>communities.   | One New York State court ruled that the<br>NYPD violated a minor's Fourth Amend-<br>ment rights against unreasonable search<br>and seizure when they collected a genetic<br>sample for DNA analysis where they   | Can DNA Evidence Be Too<br>Convincing? An Acquitted<br>Man Thinks So (The New<br>York Times)   |
|  |  | received a written consent from the minor<br>without the presence of his parent, guard-<br>ian, or attorney. <sup>58</sup>   | In New York City, Gun<br>Cases Fuel Growing, Un-<br>regulated DNA Database<br>(The Trace)  |
|  |  | <ul> <li>Secret collection of "abandoned"<br/>samples. NYPD officers will obtain "aban-<br/>doned" genetic samples from discarded<br/>objects, such as water bottles, chewing<br/>gum, and apples. For example, police<br/>officers bring suspects into interrogation<br/>rooms, wait for the suspect to take a<br/>drink or smoke a cigarette, and collect the<br/>sample once a suspect throws the object</li> </ul> | <u>City's DNA database</u><br><u>swells as cops log New</u><br><u>Yorkers' genetic material</u><br>(Queens Daily Eagle)<br><u>OCME Laboratory Proto-<br/>cols (NYC Office of Chief</u><br><u>Medical Examiner)</u> |
|  |  | <ul> <li>away.<sup>59</sup></li> <li>Court-ordered collection. A court will order a suspect to provide a sample for DNA profiling where the prosecution can establish: "(1) probable cause to believe the suspect has committed the crime. (2) a 'clear indication' that relevant material evidence will be found, and (3) the method used to secure it is safe and reliable."<sup>60</sup></li> </ul>                 |  |

#### **Body Cameras**

| How It Works  | Impact  | NYPD Policy & Scope of Use   | Further Reading  |
|---|---|--|--|
| Body cameras are<br>used to record an<br>officer's inter-<br>actions with the<br>public and store<br>the video for future<br>review or use in<br>criminal or civil<br>proceedings.<br>While body cam-<br>eras have been<br>promoted as a tool<br>for police account-<br>ability, they have<br>largely functioned<br>as evidence-gather-<br>ing devices. | Body cameras raise the<br>following concerns:<br><b>Effectiveness.</b> As part<br>of the settlement related<br>to the NYPD's unconsti-<br>tutional stop-and-frisk<br>program, a federal judge<br>ordered the NYPD to<br>develop a mechanism for<br>officers to electronically<br>record certain police<br>encounters. <sup>61</sup><br>However, the cameras<br>remain under the control<br>of police, who can decide<br>when to activate them.<br>Even when the cameras<br>are rolling, police officers<br>can add audio commen-<br>tary that skews public<br>perception of an incident<br>(e.g. yelling "stop resist-<br>ing" to a cooperating<br>person). | Body Camera Patrol Guide (2018). All uniformed patrol officers in New York City are equipped with body-worn cameras. <sup>63</sup> In New York City, members of the public can request video under the Freedom of Information Act, but when it relates to evidence in a criminal case the video is turned over to the prosecutor's office. If a camera records an officer-involved shooting or other high-profile incident, NYPD works with "relevant authorities" to determine if video can be made public. <sup>64</sup> | Body cameras can't solve<br>all our problems (USA<br>Today)<br>A Big Test of Police Body<br>Cameras Defies Expec-<br>tations (The New York                                 |
|   |   |  | Times)<br>Body-Worn Cameras:<br>What you need to know<br>(NYPD)  |
|   |   |  | <u>The benefits of police</u><br><u>body cams are a myth</u><br>(TechCrunch)<br><u>Police Body Worn Cam-</u><br><u>eras: A Policy Scorecard</u><br>(The Leadership Confer- |
|   |   |  | ence & Upturn)<br><u>NYPD Completes Rollout</u><br><u>of Body-Worn Cameras</u><br><u>to All Officers on Patrol</u><br>(NYPD)   |
|   | <b>Privacy.</b> Absent safe-<br>guards, body cameras<br>can function as mobile<br>surveillance devices,<br>recording information<br>about people and places<br>that officers encounter<br>while on patrol, regard-<br>less of their relationship<br>to a suspected crime.   |  | <u>The Hidden Bias of Cam-</u><br>eras (Slate)   |
|   | Future iterations of<br>body cameras may be<br>equipped with facial<br>recognition technolo-<br>gy, <sup>62</sup> raising additional<br>concerns about privacy,<br>effectiveness, and racial<br>bias.   |  |  |

#### SkyWatch & TerraHawk Surveillance Towers

| How It Works   | Impact  | NYPD Policy & Scope of Use  | Further Reading   |
|--|---|---|---|
| Surveillance towers<br>allow officers to<br>monitor areas from<br>several stories<br>above street level<br>as well as record<br>movements within<br>a targeted area.<br>Each SkyWatch<br>tower contains<br>flood lights, a com-<br>mand desk, devices<br>to detect vehicle<br>speeds, tinted win-<br>dows, digital video<br>recorders, and<br>customized surveil-<br>lance cameras. <sup>65</sup><br>The standard<br>equipment placed<br>on TerraHawk<br>towers is unknown,<br>but their patent-<br>ed technology<br>contemplates the<br>use of surveillance<br>cameras along with<br>infrared detectors,<br>motion detectors,<br>and a thermal im-<br>aging device. <sup>66</sup> | Surveillance towers raise<br>the following concerns:<br><b>Privacy.</b> Surveillance<br>towers impose a feeling<br>of persistent monitoring,<br>challenging reasonable<br>expectations of privacy.<br>Surveillance towers can<br>also be used to collect in-<br>formation about bystand-<br>ers who are not connect-<br>ed to a law enforcement<br>investigation.<br><b>Free Speech.</b> Per-<br>sistent monitoring from<br>surveillance towers can<br>chill associations among<br>individuals. | SkyWatch Detective Guide (2013), redacted.<br>TerraHawk Detective Guide (2013), redacted.<br>NYPD may deploy surveillance towers in<br>response to a rise in crime within a particular<br>area, <sup>67</sup> but they have also been used to mon-<br>itor protests, such as Occupy Wall Street. <sup>68</sup><br>The current number of towers deployed by<br>NYPD is unknown.<br>Surveillance towers are also used to col-<br>lect "probative" and "potentially probative"<br>images, according to patrol guides, but the<br>meaning of these terms is unclear.<br>According to media reports, TerraHawk Tow-<br>ers have been deployed in Staten Island, Far<br>Rockaway, Coney Island, and Howard Beach.<br><sup>69</sup> SkyWatch have also been deployed in Har-<br>lem <sup>70</sup> , Crown Heights <sup>71</sup> , downtown Manhattan<br>(Zucotti Park) <sup>72</sup> , Bedford-Stuyvesant Brook-<br>lyn <sup>73</sup> , and the Lower East Side of Manhattan<br>(Tompkins Square Park) <sup>74</sup> . | Brooklyn Bureau: NYPD<br>Towers May Defuse Cop,<br>Community Friction (City<br>Limits)<br>NYPD Removes Contro-<br>versial Surveillance Tower<br>From Tompkins Square<br>Park (Observer) |

**1** See, e.g., Joy Buolamwini and Tim Gerbu, "Gender Shades: Intersectional Accuracy Disparities in Commercial Gender Classification," available at: <u>http://proceedings.mlr.press/v81/buolamwinil8a/buolamwini18a.pdf</u>; See *also* Abdurrahim, S.H., Samad, S.A. & Huddin, A.B. Vis Comput (2018) 34: 1617, available at: <u>https://doi. org/10.1007/s00371-017-1428-z</u>; See *also* Jacob Snow, "Amazon's Face Recognition False Matched 28 Members of Congress with Mugshots," available at: <u>https://www.aclu.org/blog/privacy-technology/surveillance-technologies/amazons-face-recognition-falsely-matched-28.</u>

**2** See Coalition letter urging federal moratorium on face recognition for law enforcement and immigration enforcement purposes, available at: <u>https://www.aclu.org/sites/default/files/field\_document/2019-06-03\_coalition\_letter\_calling\_for\_federal\_moratorium\_on\_face\_recognition.pdf</u>.

**3** San Francisco "Stop Secret Surveillance" ordinance, File No. 190110, available at: <u>https://sfgov.legistar.com/View.</u> <u>ashx?M=F&ID=7206781&GUID=38D37061-4D87-4A94-9AB3-</u> CB113656159A.

**4** The final revisions to Oakland's Surveillance and Community Safety Ordinance are pending, but see Charlie Osborne, "Oakland follows San Francisco's lead in banning facial recognition tech," ZDNet, July 19, 2019, available at: <u>https://www.zdnet.com/article/oaklandcity-follows-san-franciscos-lead-in-banning-facial-recognition-tech/.</u>

5 See City of Somerville Massachussetts Agenda Item 207566, available at: <u>http://somervillecityma.iqm2.com/Citizens/</u> <u>Detail\_LegiFile.aspx?Frame=&MeetingID=2941&MediaPosi-</u> tion=&ID=20375&CssClass=.

**6** See NYPD correspondence with DataWorks Plus, Document 020238-020312 at page 74-75 available at: <u>https://drive.google.com/</u> <u>drive/folders/10xzGtFuWBU9PecG2cmpE8QfVwZm9kr22</u>.

7 NYPD, Real Time Crime Center FIS Presentation, available at: <u>https://drive.google.com/open?id=18yVMSMAblqcE\_nAlGf9XRI-Unik8xWOh\_</u>.

8 See id.

9 See id.

**10** NYPD, Real Time Crime Center Facial Identification Section (FIS), presentation by Detective Markiewicz (Sept. 17, 2018) (notes on file with Clare Garvie at Georgetown Law Center on Privacy & Technology).

**11** See George Joseph and Kenneth Lipp, "IBM Used NYPD Surveillance Footage to Develop Technology That Lets Police Search By Skin Color," *The Intercept*, September 6, 2018, available at: <u>https://theintercept.com/2018/09/06/nypd-surveillance-camera-skintone-search/; see also IBM Presentation to NYPD "IBM SVS 4.0 Research and Development Status Update 6 for NYPD," (hereinafter "IBM Presentation") October 16, 2012, available at: <u>https://www.documentcloud.org/documents/4452844-IBM-SVS-Analytics-4-0-</u></u>

Plan-Update-for-NYPD-6.html. **12** See Vexcel Presentation "Vexcel – NYPD: Domain Awareness

System; IBM Delivery Transition Review," at slide 3, available at: https://www.documentcloud.org/documents/4452846-Vexcel-NYPD-DTR-02-04-10.html.

**13** IBM, Software withdrawal: IBM Intelligent Video Analytics, April 23, 2019, available at: <u>https://www-01.ibm.com/common/ssi/Show-Doc.wss?docURL=/common/ssi/rep\_ca/2/897/ENUS919-092/index.html&request\_locale=en</u>.

**14** See Statements of NYPD Inspector Salvatore DiPace, "New York City's Hidden Surveillance Network Part 2 – by Scientific American," September 16, 2011, available at: <u>https://www.youtube.com/</u> <u>watch?v=LSf4YCB3Hi0I</u>; see also IBM Presentation at slide 22-50. **15** George Joseph and Kenneth Lipp, "IBM Used NYPD Surveillance Footage to Develop Technology That Lets Police Search By Skin Color," *The Intercept*, September 6, 2018, available at: <u>https://</u> <u>theintercept.com/2018/09/06/nypd-surveillance-camera-skin-</u> tone-search/.

**16** 2017 Handschu Guidelines at Section IX(B)(1), available at: <u>https://www.aclu.org/sites/all/libraries/pdf.js/web/viewer.</u> <u>html?file=https%3A%2F%2Fwww.aclu.org%2Fsites%2Fde-</u> <u>fault%2Ffiles%2Ffield\_document%2Fraza\_exhibit\_a\_to\_order\_ap-</u> <u>proving\_stipulation\_of\_settlement\_revised\_handschu\_guidelines.</u> <u>pdf#page=1&zoom=auto,-14,800</u>

#### 17 See id. at Section IX(B)(2).

**18** See Office of Community Oriented Policing Services, U.S. Department of Justice and Police Executive Research Forum, "Social Media and Tactical Considerations" at 13 (2013) (identifying NYPD units that engage in social media monitoring, and exploring use by Intelligence and Juvenile Justice as case studies), available at: <u>https://</u> www.policeforum.org/assets/docs/Free\_Online\_Documents/ Technology/social%20media%20and%20tactical%20considerations%20for%20law%20enforcement%202013.pdf.

**19** See David Uberti, "How Social-Media Surveillance of Teenagers Led to a New King of Policing," *The Nation*, April 19, 2019, available at: <u>https://www.thenation.com/article/jeffery-lane-digital-street-book-review/</u>.

**20** See *id.* at 13-16; see *also* George Joseph, "Years After Protests, NYPD Retains Photos of Black Lives Matter Activists," The Appeal, January 17, 2019, available at: <u>https://theappeal.org/years-after-protests-nypd-retains-photos-of-black-lives-matter-activists/</u>.

**21** See Hannah Dreier, "He Drew His School Mascot – and ICE Labeled Him a Gang Member," *ProPublica*, December 27, 2018, available at: <u>https://features.propublica.org/ms-13-immigrant-students/huntington-school-deportations-ice-honduras/</u>.

22 See Ali Winston "Vague Rules Let Ice Depoart Undocumented Immigrants as Gang Members" *The Intercept*, February 17, 2017, available at: <u>https://theintercept.com/2017/02/17/loose-clas-</u> <u>sification-rules-give-ice-broad-authority-to-classify-immi-</u> <u>grants-as-gang-members/</u>.

**23** See Jeff Coltin, "Why everyone is suddenly talking about the NYPD gang database," City & State New York, June 13, 2018, available at: <u>https://www.cityandstateny.com/articles/policy/criminal-justice/</u>why-everyone-suddenly-talking-about-nypd-gang-database.html.

**24** Emmanuel Felton, "Gang Databases Are a Life Sentence for Black and Latino Communities," *Pacific Standard*, March 15, 2018, available at: <u>https://psmag.com/social-justice/gang-databas-</u> es-life-sentence-for-black-and-latino-communities.

**25** See Statement of Chief Dermot Shea, Chief of Detectives, New York City Police Department, Before the New York City Council Committee on Public Safety, Committee Room, City Hall, June 13, 2018, at 4.

#### 26 See id

**27** See E.S. Levine, Jessica Tisch, Anthony Tasso, and Michael Joy, "The New York City Police Department's Domain Awareness System," Informs Journal on Applied Analytics, January 18, 2017, available at: <u>https://pubsonline.informs.org/doi/10.1287/inte.2016.0860</u> (subscription required).

28 See Affidavit of Lesa Moore, Supreme Court of the State of New York, County of New York, Index No. 160541/2016 at Page 2, available at: <u>https://www.brennancenter.org/sites/default/files/Lesa%20</u> <u>Moore%20Affidavit%20in%20Compliance%20-FINAL%20-%20</u> %28%23%20Legal%209761080%29%20%281%29.pdf.

29 See Predictive Forecasting of Crime, a KEYSTATS presidenation

for the New York City Police Department, at 2-7, available at <a href="http://www.brennancenter.org/sites/default/files/Keystats%20De-sired%20Data%20Elements.pdf">http://www.brennancenter.org/sites/default/files/Keystats%20De-sired%20Data%20Elements.pdf</a>.

**30** See Promotional Material from GammaGroup, "3G-GSM Tactical Interception & Target Location," available at: <u>https://info.publicintelli-gence.net/Gamma-GSM.pdf</u>.

**31** See New York v. Gordon, 58 Misc.3d 544, 550-51 (2017), available at http://www.nycourts.gov/reporter/3dseries/2017/2017\_27364. htm.

**32** See id, see also NYPD FOIL Response to Request #15-PL-3861 at 4, available at: <u>https://www.nyclu.org/sites/default/files/releases/NYPD%20FOIL%20Appeal%20Response%20Stingrays.pdf.</u>

**33** See NYPD response to NYCLU FOIL Request, available at: https://www.nyclu.org/sites/default/files/releases/NYPD%20 Stingray%20use.pdf.

**34** See Vasudha Talla, "Documents Reveal ICE Using Driver Location Data From Local Police for Deportations", March 13, 2019, available at: <u>https://www.aclu.org/blog/immigrants-rights/ice-and-bor-der-patrol-abuses/documents-reveal-ice-using-driver-location-data</u>.

**35** See Testimony of Deputy Commissioner of Intelligence and Counterterrorism John J. Miller, New York City Policy Department, Before the New York City Council Committees on Public Safety and Fire and Criminal Justice Services, November 12, 2014, at 4.

**36** See Joseph Goldstein, "Weekly Police Briefing Offers Snapshot of Department and Its Leader," *The New York Times*, February 10, 2013, available at: <u>https://www.nytimes.com/2013/02/11/nyregion/weekly-briefing-provides-lengthy-snapshot-of-kelly-and-nypd.</u> html?\_r=0.

**37** See Adam Goldman and Matt Apuzzo, "With cameras, informants, NYPD eyed mosques," *Associated Press*, February 23, 2012, available at: <u>https://www.ap.org/ap-in-the-news/2012/with-cameras-informants-nypd-eyed-mosques</u>.

**38** See Mariko Hirose, "Documents Uncover NYPD's Vast License Plate Reader Database," ACLU, January 25, 2016, available at: <u>https://</u> www.aclu.org/blog/privacy-technology/location-tracking/documents-uncover-nypds-vast-license-plate-reader-database.

**39** See Agreement Between New York City Police Department and Vigilant Solutions for License Plate Recognition Data & Law Enforcement Archival & Reporting Network, dated as of April 9, 2015 at Exhibit 1 (Contractor Scope of Work), available at: <u>https://www.nyclu.org/sites/default/files/20150409\_NYCC\_ALPR\_foil.pdf</u>

**40** See Colin Lecher, "Privacy advocate held at gunpoint after license plate reader database mistake, lawsuit alleges," *The Verge*, February 21, 2019, available at: <u>https://www.theverge</u>. com/2019/2/21/18234785/privacy-advocate-lawsuit-california-license-plate-reader.

**41** See NYPD Public Security Privacy Guidelines, April 2, 2009 at Pages 2-3, available at: <u>https://www1.nyc.gov/assets/nypd/down-loads/pdf/crime\_prevention/public\_security\_privacy\_guidelines.pdf</u>

**42** See Testimony of Deputy Commissioner of Intelligence and Counterterrorism John J. Miller, New York City Policy Department, Before the New York City Council Committees on Public Safety and Fire and Criminal Justice Services, November 12, 2014, at 4.

43 Id.

44 See Agreement Between New York City Police Department and Vigilant Solutions for License Plate Recognition Data & Law Enforcement Archival & Reporting Network, dated as of April 9, 2015 at Exhibit 1 (Contractor Scope of Work), available at: <u>https://www.nyclu.org/sites/default/files/20150409\_NYCC\_ALPR\_foil.pdf</u>

45 Id.

**46** See Thomas H. Davenport, "How Big Data is Helping the NYPD Solve Crimes Faster," Fortune, July 17 2016, available at: <u>http://for-tune.com/2016/07/17/big-data-nypd-situational-awareness/</u>.

47 See id.

48 See id.

**49** See William Alden, "There's a Fight Brewing Between the NYPD and Silicon Valley's Palantir," BuzzFeed News, June 28, 2017, available at: <u>https://www.buzzfeednews.com/article/williamalden/theres-afight-brewing-between-the-nypd-and-silicon-valley</u>; see also NYPD Patrol Guide: Use of Department Unmanned Aircraft System (UAS), available at: <u>https://www1.nyc.gov/assets/nypd/downloads/pdf/</u> <u>public\_information/public-pguide2.pdf#page=687</u>.

50 See id

**51** See Ashley Southall and Ali Winston, "New York Police Say They Will Deploy 14 Drones," *The New York Times*, December 4, 2018, available at: <u>https://www.nytimes.com/2018/12/04/nyregion/nypd-drones.html</u>.

**52** Noah Manskar, "NYC Pride March Will Be Especially Huge for Stonewall Anniversary," *Patch*, June 25, 2019, available at: <u>https://patch.com/new-york/new-york-city/nyc-pride-march-will-be-especially-huge-stonewall-anniversary.</u>

**53** See In the Matter of Grabell v. New York City Police Department, 139 A.D.3d 477, 479 (2016).

**54** See NYPD Technology: Helping the Finest Keep NYC Safe," February 17, 2017, available at: <u>http://nypdnews.com/2017/02/nypd-technology-helping-the-finest-keep-nyc-safe/</u>.

**55** See Rocco Parascandola and Oren Yaniv, "De Blasio, NYPD Unveil \$1.5M ShotSpotter system, detects gunshots via sensors around city and alerts police automatically," *New York Daily News*, March 16, 2015, available at: <u>https://www.nydailynews.com/new-york/nypd-unveils-1-5m-shotspotter-system-bronx-article-1.2151679</u>.

**56** See NYPD Technology: Helping the Finest Keep NYC Safe," February 17, 2017, available at: <u>http://nypdnews.com/2017/02/nypd-technology-helping-the-finest-keep-nyc-safe/</u>.

**57** See Jan Ransom and Ashley Southall, "N.Y.P.D. Detectives Gave a Boy, 12, a Soda. He Landed in a DNA Database," *The New York Times*, August 15, 2019, available at: <u>https://www.nytimes.</u> com/2019/08/15/nyregion/nypd-dna-database.html.

58 See People v. K.M., 2018 N.Y. Slip Op. 28363 at \*6.

59 See, e.g. People v. Blank, 2018 N.Y. Slip Opp 28274.

60 See Matter of Abe A., 56 N.Y.2d 288, 291 (1982).

**61** See Floyd. v. City of New York, Case 1:08-cv-01034-AT, Document 619 "Order Regarding Documenting Police-Citizen Encounters," July 19, 2018, available at: <u>https://www.naacpldf.org/wp-content/uploads/Order-re-lower-level-doc-pilot\_0.pdf</u>.

**62** Axon, a leading manufacturer of body cameras, has said it will ban the use of facial recognition in its products because the "technology is not yet reliable enough." See First Report of the Axon AI & Policing Technology Ethics Board, available at: <u>https://www.policingproject.org/axon</u>.

**63** New York City Police Department Newsroom, "NYPD Completes Rollout of Body-Worn Cameras to All Officers on Patrol," March 6, 2019, available at: <u>https://www1.nyc.gov/site/nypd/news/pr0306/</u>nypd-completes-rollout-body-worn-cameras-all-officers-patrol#/0.

64 See Body-Worn Cameras, What you need to know, available at: <u>https://www1.nyc.gov/site/nypd/about/about-nypd/equip-ment-tech/body-worn-cameras.page</u>.

**65** See FLIR SkyWatch Options, available at: <u>https://www.flir.com/</u>globalassets/imported-assets/document/skywatch-options.pdf.

**66** See TerraHawk, LLC patent for "Vehicle for deploying a mobile surveillance module," available at: <u>https://patents.justia.com/pat-ent/9669690</u>.

**67** See e.g., Jen Chung, "After Bloody Weekend, NYPD Beefs Up Patrols, SkyWatch Towers," Gothamist, June 4, 2013, available at: <u>https://gothamist.com/2013/06/04/after\_bloody\_weekend\_nypd\_beefs\_up.php</u>.

**68** See Tana Ganeva, "Is all that NYPD surveillance legal?" Salon, November 4, 2011, available at: <u>https://www.salon.com/2011/11/04/</u> is\_all\_that\_nypd\_surveillance\_legal/. **69** See Andy Cush, "Here's the Newest Tool in the NYPD's Surveillance Arsenal," Animal New York, November 15, 2012, available at: <u>http://animalnewyork.com/2012/heres-the-newest-tool-in-the-</u> <u>nyps-surveillance-arsenal/</u>.

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71 See id.

72 See Nick Turse, "What Happened When I Tried to Get Some Answers About the Creepy NYPD Watchtower Monitoring OWS," AlterNet, November 6, 2011, available at: <u>https://www.alternet.</u> org/2011/11/what\_happened\_when\_i\_tried\_to\_get\_some\_answers\_ about\_the\_creepy\_nypd\_watchtower\_monitoring\_ows/.

**73** See Orsianmi Burton, "An encounter with "SkyWatch" on a block in Bedford-Stuyvesant, Brooklyn, Anthropoliteia, May 8, 2014, available at: <u>https://anthropoliteia.net/2014/05/08/an-encounter-withsky-watch-on-a-block-in-bedford-stuyvesant-brooklyn/</u>.

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